

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hohn et al.
 Serial No. : 10/616,783
 Filed : July 10, 2003
 Title : WAVELENGTH-CONVERTING CASTING COMPOSITION AND LIGHT-EMITTING SEMICONDUCTOR COMPONENT

Art Unit : 2826
 Examiner : Minh Loan Tran

MAIL STOP AMENDMENT

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the following information and the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

We note that Japanese-language reference AP are pages from the 1987 Japanese edition of the Phosphor Handbook, which was later revised and published in English in 1999. The Japanese edition is discussed in reference AN ("English Translation of Decision on Opposition from the Japanese Patent Office regarding Opposition 2003-71726, dated August 22, 2005.) See, for example, section 4.2(G) and 4.2(H).

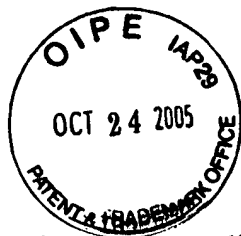
Also, further to the Information Disclosure Statement filed October 17, 2005, we also note that with respect to the obviousness allegations by the accused infringer in the ITC litigation (Investigation No. 337-TA-512) that relied on the Phosphor Handbook, the accused infringer pointed to pages 332 and 337 (of the 1999 English edition) for allegedly disclosing YAG:Ce phosphors having a size of 10 to 30 microns and a few micrometers to 10 microns, and further

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10-20-05
 Date of Deposit
 Signature CHERYL A. CARON
 TYPED OR PRINTED NAME OF PERSON SIGNING CERTIFICATE

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Attorney's Docket No.: 12406-006003 / 1997P2507 US
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alleged that this was prior art because an earlier edition of the Phosphor Handbook was published in Japan in 1987.

This statement is being filed within three months of the filing date of a Request for Continued Examination. Please apply any charges or credits to Deposit Account No. 06-1050, referencing 12406-006003.

Respectfully submitted,

Date: _____

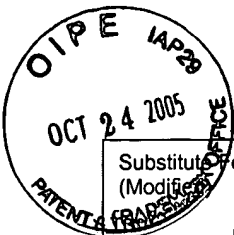
10/19/05

A handwritten signature in dark ink, appearing to read "Marc M. Wefers", written over a horizontal line.

Marc M. Wefers
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12406-006003	Application No. 10/616,783
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Hohn et al.	
		Filing Date July 10, 2003	Group Art Unit 2826

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AF	62201989	09/05/1987	Japan			Abstract only	
	AG	07-176794	07/14/1995	Japan	H01L	33/00	Abstract Only	
	AH	07-292354	11/07/1995	Japan	C09K	11/08	Abstract Only	
	AI	08-096958	04/12/1996	Japan	H05B	33/14	Abstract Only	
	AJ	10-242513	09/11/1998	Japan	H01L	33/00	Abstract Only	
	AK	56-058884	05/22/1981	Japan	B41J	3/20	Abstract Only	
	AL							
	AM							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AN	English Translation of <u>Decision on Opposition</u> from the Japanese Patent Office regarding Opposition 2003-71726, dated August 22, 2005.
	AO	Shigeo Shionoya and William M. Yen (eds.), <u>Phosphor Handbook</u> , CRC Press, pp. 141-520 (1999)
	AP	<u>Phosphor Handbook</u> , (Keikotai Handobukku), Phosphor Research Society (Japan), 1987, pp. 172-174, 188-189, 270, 276, 375, 384-385
	AQ	M.F. Yan et al., "Preparation of Y ₃ Al ₅ O ₁₂ -Based Phosphor Powders", <u>Journal of the Electrochemical Society</u> , Vol. 134, No. 2, pp. 493-498 (February, 1987)
	AR	
	AS	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	